



Type of Order:	DISCIPLINARY CONSENT ORDER
Date of Order:	26 June 2025
Committee name:	REGULATION AND CONDUCT COMMITTEE ('the Committee')
Details of IP:	Daniel Plant , of London , formerly an Insolvency Practitioner Association (IPA) member and Licensed Insolvency Practitioner.
Summary of complaint:	<p>This Order is made in relation to a complaint that Mr Plant, in his role as liquidator of a company, breached the Fundamental Principle of Professional Competence and Due Care of the Insolvency Code of Ethics, when he:</p> <ul style="list-style-type: none">a) Failed to respond to correspondence from the company's pensions administrator; andb) Failed to ensure complete and accurate Forms RP15 and RP15A were submitted to the Redundancy Payments Service as appropriate prior to the closure of the case. <p>Accordingly, Mr Plant was found liable to disciplinary action under the IPA's Articles of Association.</p>
Summary of sanctions:	<p>The Common Sanctions Guidance provides for a starting point of a Severe Reprimand and a financial sanction of £5,000 for a serious breach of the Fundamental Principle of Professional Competence and Due Care.</p> <p>When considering the appropriate sanction, the Committee agreed that the lack of evidence that the Mr Plant had attempted to obtain information required to verify the employees' details and his failure to identify the issue until the complaint was made to the IPA were aggravating factors.</p> <p>The Committee imposed a disciplinary order that Mr Plant be Severely Reprimanded and fined £5,000.</p>