



## SIP 9 CONSULTATION QUESTIONNAIRE

Name of individual making the response:

Firm name :

RPB: (if applicable)

- 1 Do you believe that the revised version of SIP 9 identifies all appropriate principles?** Yes / No

Comments

- 2 If “no”, what additions do you believe should be made to the principles contained in the SIP?**

- 3 Do you believe that the revised version of SIP 9 identifies the key compliance standards?** Yes / No

Comments

- 4 If “no”, what additions do you believe should be made to the key compliance standards contained in the SIP?**

- 5 Do you agree that in the interest of transparent and proportionate reporting, principles-based SIP should not provide suggested formats for the provision of information?** Yes / No

Comments

- 6 If “no”, please provide an explanation and any suggestions you may have for an alternative approach:**

- 7 Do you consider that the SIP will aid the understanding of creditors and/or officeholders of what is expected of officeholders. Yes / No

Comments

- 8 If “no” how might it usefully be clarified or improved?

- 9 Are there any other amendments you would wish to suggest to the SIP? Please provide details below.

- 10 Do you consider that the Explanatory Note assists your understanding the requirements of the SIP? Yes / No

Comments

- 11 If “no” how might it usefully be clarified?

- 12 Are there any other amendments you would wish to suggest to the Explanatory Note? Please provide details below.

It may be useful to contact you to discuss your comments so please give your:

- telephone number and
- email address

Please email your completed comments to: [membership@ipa.uk.com](mailto:membership@ipa.uk.com)

or send them by post to:

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**Closing Date: 4<sup>th</sup> September 2015**